# Clitic Climbing and Null Subject Languages\*

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#### **Abstract**

The aim of this paper is to derive Clitic Climbing from restructuring together with the Null Subject property. Data are drawn mainly from Catalan. I propose a biclausal analysis for restructuring constructions in which clitic climbing (like any clitic-Argument dependencies) is analysed as an A-dependency, assuming that clitics are AGRs which check features in the Agreement-Case system. Clitic climbing would be then a "long distance" A-dependency, which is made possible assuming that restructuring is always raising, and that raising in NSLs is a transparent structure for A-dependencies, due to the nature of Nominative Case checking in these languages. To this end, reformulation of locality conditions for probe-goal checking (agree, Chomsky 1998) is needed, which has some independent plausibility.

**Key words:** verbal agreement, object clitics, Case, subject raising, clitic climbing.

## **Resum.** Pujada de clítics i llengües de subjecte nul

L'objectiu d'aquest article és derivar la pujada de clítics de la restructuració juntament amb la propietat de Subjecte Nul. Les dades provenen sobretot del català. Proposo una anàlisi bioracional de les construccions de restructuració, en què la pujada de clítics (com qualsevol dependència clític-Argument) s'analitza com una dependència A, suposant que els clítics són AGRs que comproven trets dins el sistema Concordança-Cas. La pujada de clítics seria, així, una dependència A de "llarga distància", que és possible si suposem que la restructuració és sempre elevació, i que l'elevació en les llengües de Subjecte Nul és una estructura transparent per a dependències A, a causa de la natura de la comprovació del Cas Nominatiu en aquestes llengües. A aquest efecte, cal una reformulació de les condicions de localitat per a la comprovació sonda-objectiu (concordeu, Chomsky 1998), la qual té uina certa plausibilitat per raons independents.

**Paraules clau:** concordança verbal, clítics d'objecte, Cas, elevació de subjecte, pujada de clític.

#### 0. Introduction

Clitic climbing is a intricate phenomenon in the syntax of Romance Languages: it is clearly linked to "restructuring" (whose exact nature has always been a matter of debate<sup>1</sup>) but it also appears to be linked to the Null Subject status of the language. It is furthermore subject to a number of well-formedness conditions in interaction with other side effects of restructuring (auxiliary assignment, participle agreement, SE-

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<sup>&</sup>lt;sup>1</sup> For different views on restructuring and clitic climbing, see Rizzi (1982), Zubizarreta (1982), Aissen & Perlmutter (1983); Manzini (1983), Hernanz & Rigau (1984), Burzio (1986), Guéron & Hoekstra (1988), Kayne (1989), Martineau (1989), Llinàs Grau (1990-91), Luján (1993), Treviño (1993); Bok-Bennema & Kampers-Mahne (1994), Roberts (1997), Gonçalves (1997a, b), Cinque (2000). For GPHG or HPHG accounts, see Monachesi (1998, 1999).

passive). This paper is an attempt at reassessing the overall picture of clitic climbing and restructuring in the light of both empirical and theoretical considerations. On the empirical side, most of the date are from Catalan, which is close enough to Spanish and Italian in most respects.

In order to provide an account for Romance clitic climbing, one has to address the following issues:

- 1) Which verbs are restructuring? In section 1we will adduce some clitic climbing verbs from Catalan that are not standardly considered in restructuring accounts.
- 2) What does restructuring consist in? In section 2 we will argue for the view that restructuring involves a biclausal structure, and more specifically one of raising.
- 3) What does clitic climbing consist in? In section 3 wed argue that clitic climbing is 'long' DP-movement out the embedded clause, which crucially can only take place in a Null subject language.

# 1. Restructuring verbs

As a first approximation, the class of restructuring verbs in Catalan can be described as consisting of the following classes (which I exemplify with clitic climbing and without): a) *Auxiliary verb*: *va* 'past perfect':

- (1) Ho van trencar. / Van trencar-ho. it-PAST-3pl to-break PAST-3pl to-break-it 'They broke it.'
- b) Epistemic modals: poder 'may', haver de 'must', , deure 'probably'
- (2) Ho deuen tenir. / Deu tenir-ho. it-must-3pl to-have must-3pl to-have-it 'They probably have it.'
- c) Root modals: haver de 'have to', poder 'can', gosar 'dare to', voler 'want to', provar de 'try to', tractar de 'try to' mirar de 'try to', intentar 'try to', saber 'know how', arribar a 'manage to'.
- (3) El vull veure. / Vull veure'l. him-want-sg to-see want-sg to-see-him 'I want to see him.'
- d) Aspectuals: soler 'usually', començar a 'start V-ing', continuar +GER 'keep V-ing', anar +GER 'go on V-ing', deixar de 'stop V-ing', tornar a 'be back V-ing'; estar +GER 'be V-ing', acabar de 'have just V-ed' acabar de 'finish V-ing'; anar a 'be about to':
- (4) El torno a llegir. / Torno a llegir-lo. it-return-1sg to to-read return-1sg to to-read-it 'I am reading it again.'
- e) Some verbs of motion: anar 'go'; venir 'come':
- (5) L'aniré a visitar. / Aniré a visitar-lo. him-go-FUT-1sg to to-visit go-FUT-1sg to to-visit-him

'I will go and visit him.'

The claim has been made that some or all of these verbs are functional verbs that do not have a full clausal complement: they are rather functional morphemes belonging somewhere in the functional hierarchy of the clause. Picallo (1990) makes this proposal for epistemic modals, and assigns root modals a non full-verb status. Cinque (1999a, 1999b, 2000) proposes that modal and aspectual verbs are functional morphemes corresponding a variety of functional categories which are arranged in a universal hierarchy present in each clause.

Within Cinque's a proposal, restructuring (hence clitic climbing) with modal and aspectual verbs can be derived almost trivially: these verbs involve no biclausal structure and therefore clitic climbing turns out to be a clause-bound operation (just like clitic placement in any simple clause). Cinque (2000) in fact makes the stronger proposal that the class of restructuring verbs is coextensive with the class of functional verbs. Restructuring is therefore 'obligatory' (a functional verb can not be lexical). If independently motivated, this account appears as provide the simplest solution to restructuring.

Not all restructuring verbs, though, are equally likely to be "only functional". Auxiliaries are uncontroversially functional. Epistemic modals are good candidates to be functional verbs: they do not impose selectional restrictions on their subject; they must precede auxiliaries (see Picallo 1990) and they seem to be paraphrasable with some modal adverb. Next, aspectual verbs do not impose selections on their subject either; and at least some of them have an adverbial paraphrase too:

- (6) a. Torna a ploure returns to to-rain 'It's raining again.'
- a. Torna a ploure . Plou una altra vegada. returns to to-rain rains an other time
  - b. Acaba d' arribar. finishes of to-arrive 'S/he's just arrived.'
- Ha arribat ara mateix. has arrived now self

Root modals do not satisfy the transparency criterion for subjects. It is not clear that they assign a standard theta role to their subject, but at least they seem to attribute to it some relational property (capability, obligation or volition). They do not lend themselves to adverbial paraphrase.

Finally, we have verbs of motion such as the ones in (7) from Catalan, whose meaning does not easily lend itself to an aspectual or modal interpretation.

- (7) a. Ho ha anat a arreglar. it-has gone to to-fix 'S/he has gone to fix it.'
  - b. T'he vingut a veure. you-have-1sg come to to-see 'I have come to see you.'

It is true that motion verbs meaning 'go' or 'come' often become grammaticalized as modal or aspectual verbs (with some aspectual or modal interpretation, typically

<sup>2</sup> Of course, there might be a limited number of cases of lexical ambiguity between functional and lexical status, but not a systematic alternation.

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'future'). But the more basic meaning of these verbs (which allows restructuring) is clearly one of motion to a (not mentioned) space location in order to do something.

Verbs meaning 'go' with a more specific path interpretation ('go up', go down', etc.) are also restructuring:

- (8) a. L'he pujat a veure. him-have-1sg gone-up to to-see 'I have gone up to see him'
  - b. *L*'he baixat a buscar. him-have-1sg gone-down to to-fetch 'I have gon down to fetch him.'
  - c. *Hi* entraré a parlar. there-go-in-1sg to to-talk 'I'll go in to talk to him.'
  - d. *El* va sortir a veure. him-PAST-3sg go-out to to-see 'S/he went out to see him.'
  - e. *El* passaré a saludar. him go-by-1sg to to-greet 'I'll go by to greet him.'

In Catalan, *venir* 'come' is restructuring not only in its allative construal, but also in its ablative construal:

(9) a. Ara mateix *el* vinc de visitar. now self him-come-1sg from to-visit 'I'm just back from visiting him.'

Beyond verbs of motion, there are some other aparently lexical verbs that allow clitic climbing. One of them is *aprendre* 'learn'.

b. *Ho* he après a fer. it-have-1sg learned to to-do 'I have learned to do it.'

Most strikingly, Catalan allows for clitic climbing with a number of inherently reflexive verbs. These verbs are compatible only with a restricted (basically locative) set of climbing clitics (in the following examples, climbed clitics are in italics, while inherent clitics are in normal case):

/ \*Se n'ho va (10) a. Se n'hi va a viure. a vendre. SE-EN-*there*-go-3sg to to-live SE-EN-*it*-go-3sg to to-sell 'S/he's going there to live there.' 'S/he's going there to sell it.' b. S'*hi* disposava a anar. / \*S'ho disposava SE-there-get-ready-PAST-3sg to to-go SE-*it*-get-ready- PAST-3sg to to-do 'S/he was getting ready to do it.' 'S/he was getting ready to go there.' c. S'hi ha descuidat \*Se l'ha descuidat de comprar. d'anar. SE-*there*-has forgotten of-to-go SE-*it*-has forgotten of to-buy 'S/he forgot to buy it.' 'S/he forgot to go there.' d. No s'hi atreveix a anar. / \*No s'ho atreveix a fer.

not SE-there-dares to to-go
'S/he doesn't dare to go there.'

e. S'hi ha proposat anar.
SE-there-has determined to-go
'S/he has determined to go there.'

not SE-it-dares to to-do
'S/he doesn't dare to do it.'

\*Se l'ha proposat llegir.
SE-it-has determined to-read
'S/he has determined to read it.'

Clitic climbing is also possible with some psych verbs, which show similar restrictions:

(11) a. (?) M'hi agradaria anar. / \*Me l'agradaria llegir. me-there-would-like to-go me-it-would-like to-read 'I'd like to go there.' 'I'd like to read it.' b. ?M'hi interessaria anar. me-there-would-interest to-go 'I'd be interested in going there.' '\*Me l'interessaria llegir. me-it-would-interest to-read 'I'd be interested in reading it.'

Even if a modal-aspectual flavour could be argued for in most cases, it should be assessed whether functional verbs are to be expanded to such a generous extent, including apparently lexical meanings like 'learn', and allowing for the possibility of inherent clitics. In the following section we will provide tests to decide between alternative structural options for the analysis of restructuring. Probably the optimal solution is a unitary account for all restructuring cases, extending from the most functional-like cases to the most lexical-like ones.<sup>3</sup>

<sup>3</sup> A further issue to consider is whether (apparent) object or dative control verbs can be restructuring. One candidate is *ensenyar* 'teach' (and its counterpart in other Romance languages), a dative control verb:

(i) M'hi ha ensenyat a anar. / Li ho ha ensenyat a fer. me-there-has taught to to-go him-it-has taught to to-do

Cinque (2000) argues that this is a case of hidden causative. Indeed, there are several verbs that display causative behaviour when clitic climbing occurs. Verbs like *forçar/obligar* 'force' or *ajudar* 'help', which basically are object control verbs, show the typical object/dative alternation of causative verbs (depending on the transitivity of the infinitive) with clitic climbing:

(ii) a.  $^{7}L$  en han obligat a sortir /  $^{7}L$ i ho han obligat a pagar ACC-EN-have-3pl forced to come-out 'They forced him to come out of there.' 'They forced him to pay it.' b.  $^{7}L$  en han ajudat a sortir /  $^{7}L$ i ho han ajudat a pagar

ACC-EN-have-3pl helped to come-out barbar ACC-EN-have-3pl helped to come-out barbar a gudat a pagar barbar ACC-EN-have-3pl helped to pay a come out of there. They helped him to pay it.

The verb ensenyar, instead, always displays dative in clitic climbing, as shown in (iii):

Li n'ha ensenyat a parlar bé. / Li ho ha ensenyat a fer.

DAT-EN-has taught to speak well
'S/he taught him to praise it.' / Li ho ha ensenyat a fer.

DAT-it-has taught to do 'S/he taught him to do it.'

més.

## 2. Restructuring

Ideally, an account of restructuring should satisfy the following desiderata:

- (12) a. Restructured constructions should minimally differ from their non-restructured counterparts, to the extent that (at least some) restructuring constructions have a systematic relation to a non-restructured counterpart.
  - b. Restructuring should make transparency effects possible (perhaps not obligatory).

Consider first (13). It has been traditionally assumed that while (13.a) is an instance of restructuring (and clitic cimbing), (13.b,c,d,e) (where clitic climbing is impossible due to several opacity factors) are not:

(13) a. El vull veure. (Vull veure'l.) want-1sg to-see-him him-wantg-1sg to-see 'I want to see him.' INFINITIVAL NEGATION: b. \*El vull no veure més. / Vull no veure'l him-want-1sg not to-see more want-1sg not to-see-him more 'I want not to see him anymore.'

FOCUS FRONTING OF THE INFINITIVE:

c. \*VEURE, el vull! / VEURE'L, vull! him-want-1sg see-him want-1sg 'It's seeing him that I want.'

## DISLOCATION OF THE INFINITIVE:

d. \*Veure, ara no el vull. Veure'l, ara no vull. now not him-want-1sg see-him now not want-1sg 'As for seeing him, I don't want right now.'

## CLEFTING OF THE INFINITIVE:

e. \*És veure, que el volia. / És veure'l. que volia. is to-see that him-wanted-1sg is to-see-him that wanted-1sg 'It's to see him that I wanted.'

It is plausible that all instances of *voler* 'want' in (13) are to be ascribed to the same lexical entry. Therefore, differences between the restructured and the non-restructured options should be minimal.

Cinque (2000) makes the most radical claim in this connection: there is only one structure with restructuring verbs, the "restructured" one, which in fact simply consists in a monoclausal structure with the "restructuring" verb in its functional position. A verb like voler (and, mutatis mutandis, any other restructuring verb) is inserted as the head of some Modal (or Aspectual) phrase, whose complement is the next functional category in sentence structure.

In Cinque's view, the fact that clitic climbing (and other transparency effects) are blocked in cases (13.b,c,d,e) is not due to absence of restructuring, but to some locality problem which occurs even with restructuring. Specifically, focus fronting, dislocation and clefting would involve Null Complement Anaphora (and not a trace) as the resumptive element, which would break the chain connection of the climbed clitic to its base position in the infinitival (forcing control in the displaced infinitival). Let us call Cinque's hypothesis the Only-Funtional Hypothesis.

There are two conceivable alternatives to Cinque's proposal. One is that restructuring configurations basically derive from full biclausal structures, with a control infinitival (perhaps also from raising structures, if the restructuring verb is arguably raising). This is the view in Rizzi, 1982, Kayne 1989b, Bok-Bennema & Kampers-Mahne 1984, Roberts 1987. According to this view, in essence what triggers restructuring is not the basic structure but some feature specification that somehow allows (or forces) some special transformations (generally head incorporation). Let us call this hypothesis the Full Structure Hipothesis.

The second alternative is that restructured clauses are always somehow reduced from the base, but are nevertheless biclausal: just like raising verbs are optionally complemented by a partial structure (IP instead of CP), restructuring verbs would (optionally) take a reduced clausal complement. Let us call this hypothesis the Partial Structure Hypothesis.

Within the Full Structure and the Partial Structure hypotheses, restructuring may in principle be conceived as obligatory (restructuring always applies with restructuring verbs) or optional (restructuring optionally applies with restructuring verbs).

Let us proceed to check these alternatives. There is one test that distinguishes the Full Structure Hypothesis from the other two. In Control Structures, the Full Structure Hypothesis predicts control to hold of restructured constructions (assuming that transformations cannot "destroy" control). As noticed by Picallo (1990), the subject of a restructuring verb behaves like an unaccusative subject (allowing *en*-cliticization) just in case the infinitival verb is unaccusative:

- (14) a. N'hi volen anar alguns. / Volen anar-n'hi alguns. EN-there-want-3pl to-go some want-3pl to-go-EN-there some 'There are some that want to go there.'
  - b. \*En volen protestar alguns.
    EN-want-3pl protest some
    'There are some that want to protest.'

The possibility of en-cliticization is lost when the opacity inducing factors occur:

(15) a. \*N'hi voldrien no anar alguns/ \*Voldrien no anar-n'hi alguns. EN-there-wanted-3pl not to-go some wanted-3pl not to-go-EN-there some 'There are some that would like not to go there.'

Obviously, the facts in (14) are incompatible with control, as can be seen from control non-restructuring verbs:<sup>4</sup>

- (16) a. \*Lamenten (no) anar-n'hi alguns. regret-3pl (not) to-go-en-there some
  - b. \*Lamenten protestar-ne alguns. regret-3pl to-protest-ne some

The facts in (14) are compatible with both the Only Funtional Hypothesis and a version of the Partial Structure Hypothesis that postulates IP structure (hence raising) for the restructured infinitive.

<sup>&</sup>lt;sup>4</sup> These facts (and the subject inversion facts below) constitute strong counterevidence against any proposal that postulates control in restructured infinitives: Kayne (1989), Bok-Benema & Kampers-Mahne (1994), Roberts (1997).

There is another test that shows essentially the same result. In restructuring constructions (unlike in non-restructuring ones), subject inversion (both free and indefinite) can be shown to place the inverted subject inside the infinitive:

- (17) a. Volen venir els cosins a dinar. want-3pl to-come the cousins to to-lunch 'Our cousins want to come and have lunch.'
  - b. \*Es proposen venir els cosins a dinar. SE-determine-3pl to-come the cousins to to-lunch 'Our cousins are determined to come and have lunch.'
  - c. Venen els cosins a dinar. come-3pl the cousins to to-lunch 'Our cousins are coming to have lunch.'
- (18) a. Han provat d'entrar lladres a la casa. have-3pl tried of-to-enter thieves to the house 'Thieves have tried to get into the house.'
  - b. \*S'han decidit a entrar lladres a la casa.

    SE-have-3pl decided to to-enter thieves to the house
    'Some thieves have made their mind to get into the house.'
  - c. Han entrat lladres a la casa. have-3pl entered thieves to the house 'Thieves got into the house.'
- (19) a. No ho ha gosat tocar ningú amb les mans. not it-has dared to-touch nobody with the hands 'Nobody dared to touch it with their hands.'
  - b. \*No s'ha desdit de tocar-ho ningú amb les mans. not SE-has declined of to-touch-it nobody with the hands 'Nobody declined touching it with their hands.'
  - c. No ho ha tocat ningú amb les mans. not it-has touched nobody with the hands 'Nobody touched it with their hands.'
- (20) a. Ho ha anat a escriure en Joan amb l'ordinador. it-has gone to to-write the John with the-computer 'John has gone to write it with the computer.'
  - b. \*S'ha ofert per escriure-ho en Joan amb l'ordinador. SE-has offered for to-write-it the John with the-computer 'John offered himself to write it with the computer.'
  - c. Ho ha escrit en Joan amb l'ordinador. it-has writen the John with the-computer 'John wrote it with the computer.'

The a-examples show that the restructuring verbs (which could be otherwise argued to be control verbs) allow the inverted subject to appear inside the infinitive. The b-examples feature control, non-restructuring, infinitives, where an inverted subject cannot appear inside the infinitive. The c-examples are just to check that the kind of subject inversion exemplified in the a-examples is independently attested in simple sentences, with the same word order and the same topic-comment interpretation.<sup>5</sup>

Again, the opacity inducing factors block subject inversion in-the-infinitive:

<sup>5</sup> The generalization seems to be that whenever the inverted subject is not in final (non dislocated) position, the whole VP is interpreted as comment.

- (21) a. Voldrien venir els nens a dinar. would-want-3pl to-come the children to to-lunch 'Our children would like to come and have lunch.'
  - b. Voldrien no haver de venir (\*els nens) a dinar. (negation) would-want-3pl not to-have of to-come the children to to-lunch 'Our children would like not to have to come and have lunch.'
  - c. VENIR (\*ELS NENS) A DINAR, voldrien! (focus fronting) to-come the children to to-lunch would-want-3pl 'What our children would like is to come and have lunch.'
- (22) a. Han provat d'entrar lladres a la casa. have-3pl tried of-to-enter thieves to the house 'Thieves have tried to get into the house.'
  - a. Ha provat de no caure (\*lladres) a la trampa. (negation) have-3pl tried of not to-fall thieves to the trap 'Thieves have tried not to fall into the trap.'
  - b. D'ENTRAR (\*LLADRES) A LA CASA, han provat! (focus fronting) of-to-enter thieves to the house have-3pl tried 'It is to get into the house that thieves have tried.'

Again, these facts are only compatible with either the Only-Functional Hypothesis or the Partial-Structure (raising) Hypothesis. Let us try to decide between the two. One argument against the Only-Functional Hypothesis would consist in showing that restructuring is optional: the same lexical entry can behave as a restructuring and as a non restructuring verb. Such an argument could be invalidated if what we actually show is that transparency effects triggered by restructuring are optional, since it can be argued, as Cinque (2000) does, that transparency effects may be optional side-effects and still restructuring remains obligatory.

Now, the facts about subjects (*en*-cliticization and inversion) cannot be taken to be optional side-effects of restructuring. They should be core properties of the sentence structure of restructuring. Therefore in cases where the inverted subject cannot appear within the infinitive, we cannot claim that there is restructuring. The argument is specially valid in cases the infinitive is not displaced (dislocated, fronted or clefted): in these cases, there should be no problem for the licensing of inverted subjects in a monoclausal structure. In the following examples, subject inversion in the infinitive with restructuring verbs is blocked by negation, *only* focusing and adverbial intervention:

#### **NEGATION**

- (23) a. No ho gosa dir ningú al director. not it-dares to-say nobody to-the director 'Nobody dares to tell the director.'
  - b. No gosa no dir-ho (\*ningú) al director. not dares not to-say-it nobody to-the director 'Nobody dares not to tell the director.'
- (24) a. Hi ha aconseguit parlar en Joan per telèfon. with-him-has managed to-talk the John by phone 'John managed to talk to him by phone.'
  - b. Ha aconseguit no enfrontar-s'hi (\*en Joan) verbalment. has managed not to-quarrel-SE-with-him the John verbally

'John managed not to get quarrel with him verbally.'

#### **ONLY-FOCUSING**

- (25) a. Demà anirà a comprar en Joan al supermercat. Tomorrow will-go-3sg to to-buy the John to-the supermarket 'Tomorrow John will go shopping to the supermarket.'
  - b. Demà anirà només a comprar (\*en Joan) al supermercat. Tomorrow will-go-3sg only to to-buy the John to-the supermarket 'Tomorrow John will only go shopping to the supermarket.'
- (26) a. Han après a engegar-lo els nens amb la maneta. have-3pl learned to to-turn-on-it the children with the handle 'The children have learned to turn it on with the handle.'
  - b. Han après només a engegar-lo (\*els nens) amb la maneta. have-3pl learned only to to-turn-on-it the children with the handle 'The children have learned only to turn it on with the handle.'

#### ADVERBIAL INTERVENTION

- (27) a. L'han sortit a saludar els nens amb la mà. him-have-3pl gone-out to to-greet the children with the hand 'The children have gone out to greet him with their hands.'
  - b. Han sortit ara mateix a saludar-lo (\*els nens) amb la mà. have-3pl gone-out now self to to-greet-him the children with the hand 'The children have gone out right now to greet him with their hands.'
- (28) a. Les papallones, les han après a caçar els nens amb una xarxa the butterflies them have-3pl learned to to-catch the children with a net 'The children have learned to catch butterflies with a net.'
  - b. Han après de seguida a caçar-les (\*els nens) amb una xarxa. have-3pl learned right away to to-catch-them the children with a net 'The children have learned right away to catch them with a net.'

The argument is especially clear with negation. Negation does not block raising (and hence subject inversion-in-the infinitive) with *semblar* 'seem' or even with epistemic modals like *poder* 'may':

- (29) a. Sembla no haver vingut ningú a la reunió. 6 seems not to-have come nobody to the meeting
  - b. Podria no venir ningú/ ?en Joan a dinar. might not to-come nobody/the John to have-lunch

The conclusion seems to be that negation and other opacity factors do block restructuring and that, when restructuring is blocked, a control structure is available. If the restructuring and the control counterparts are close enough in meaning and structure, desideratum (12.a) becomes relevant: restructuring should minimally differ from non-restructuring.

In this connection, the Partial Structure Hypothesis is better fitted than the Only Functional Hypothesis: it is unlikely that functional verbs systematically alternate with

<sup>&</sup>lt;sup>6</sup> Infinitival raising with *semblar* is always marginal in Catalan:

<sup>(</sup>i) <sup>?</sup>En Joan sembla haver vingut a la reunió. the John seems to-have come to the meeting

lexical verbs with a full clause complement. Restructuring verbs are, therefore, lexical verbs with a restructuring option.<sup>7</sup>

Suppose restructuring consists in eliminating CP layer. In other words, restructuring verbs select a proposition, which can optionally be a CP (control) or an IP (raising), with the condition that the controller-PRO link in the control option becomes a raising chain in the raising option. In other words, the controller theta role of the non-restructuring counterpart should somehow be made invisible to Argument projection in order to allow raising: either by becoming an adjunct theta role, or by being demoted into an implicit argument.<sup>8</sup>

This is not an appealing conclusion: there is no known general mechanism for turning control structures into raising ones. The alternation between control and raising would require ad hoc devices far beyond merely eliminating CP. But there seems to be no way out of the problem: control and raising seem to alternate in minimally differing structures.<sup>9</sup>

A further piece of evidence for the biclausal status of restructuring clauses is the fact that they display two positions for either clitics and Past Participle agreement:

- (30) a. Al pati, hi volen anar els nens a jugar. to-the courtyard there-want-3pl to-go the children to to-play
  - b. Al pati, volen anar-hi els nens a jugar. to-the courtyard want-3pl to-go-there the children to to-play 'The children want to go to the courtyard to play.'
- (31) a. Les ha volgudes veure. *them*-has wanted-*3pl-fem* to-see 'S/he's wanted to see them.'
  - b. Les pot haver vistes. *them*-can-3sg to-have seen-3*pl-fem*

(30) shows that clitic climbing is optional within restructuring (the inverted subject guarantees we are dealing with a restructuring (raising) construction. (31) shows that agreeing participles can appear both with the restructuring and the infinitival verb. We will make sense of these facts in the next section.

Finally, the prepositions that appear between the restructuring verb and the infinitival verb are also easier to deal with in a biclausal structure: they seem to indicate the the two verbs are not in a head-to-head relation, as most likely predicted by the Only-Functional Hypothesis.

There is more theoretical point that can be maid: clitic climbing (as well as other transparency effects) should be derivable from restructuring plus some other crucial

<sup>&</sup>lt;sup>7</sup> This is also the conclusion in Amadas Simon (1999) for aspectual verbs: their general argument structure is that of a lexical verb, restructuring being a "further" option, not the only one. Alternatively, one might speculate that the Partial-Structure Hypothesis is compatible with the Functional-Only Hypothesis if we assume that some verbal functional heads require a clause-like complement essentially resembling an IP.

<sup>&</sup>lt;sup>8</sup> Besides the standard case of external argument absorption in passives, there is another candidate to argument absorption: reflexive constructions in Romance, where a reflexivized (di)transitive verb acquires some unaccusative properties. See Alsina (1996:3,4) for a revision of the facts and account within Lexical-Functional grammar.

<sup>&</sup>lt;sup>9</sup> Schroten (1986) claims that raising verbs can be classified as being unaccusative or unergative, this meaning that they involve raising to their internal or external NP position (i. e., to Argument position). This heterodox view could shed some light into the control/raising alternation, since control verbs are also unaccusative or unergative.

factor. Restructuring should in principle be available in all languages, and specifically in all Romance languages. It has been argued (Kayne 1989b, Bok-Bennema & Kampers-Mahne 1994, Roberts, 1997) that restructuring takes place in French, even if transparency effects are quite limited. This means that restructuring is a necessary but not sufficient condition for clitic climbing. It has been argued that the other necessary condition is pro-drop. Now, if pro-drop is to play any role in restructuring, it is likely that restructuring involves IP, since pro-drop has to do with properties of the IP head(s). This favours hypotheses where IP intervenes between the restructuring verb and the infinitive. Cinque's hypothesis that restructuring verbs are functional have little room for making INFL play a role in restructuring, at least in terms of locality conditions.

In next section, we will introduce a possible account on how pro-drop makes restructured domains transparent.

## 3. Clitic climbing

Both clitic placement and clitic climbing have been analysed in two alternative ways: as head movement that brings clitics from their base position successively into their final position (Kayne 1975, 1989b, 1991, 1992, Rizzi 1986, Torrego 1994, Uriagereka 1995) or as base generated morphemes that are somehow associated to an argument position. (Strozer 1976, Rivas 1977, Aoun 1979, Jaeggli 1982, 1986, Borer 1984, Suñer 1988, Sportiche 1992, Franco 1993, 2000). A particularly interesting view within the latter option is that the clitic-argument relation is established by A-movement (L-related movement in minimalist terms).

In fact, Kayne's version of the head-movement hypothesis also involves A-movement: according to Kayne (1989a, 1993), Past Participle agreement with the clitic is obtained by having the object DP pass through the participial Spec before its clitic head undergoes head movement. So A-movement seems to be involved in both the head-movement and the base-generation hypothesis.

Taking Kayne's criterion on Past Participle agreement to be correct, we can draw an important conclusion on clitic climbing: clitic climbing is A-movement. Consider (32):

- (32) a. Les pot haver vistes. them-f-pl he-can have seen-f-pl 'S/he may have seen them.'
  - b. Les ha pogudes veure. them-f-pl has can-Ptc-f-pl see 'S/he has been able to see them.'
  - c. Les ha pogudes anar a veure. them-f-pl has can-Ptc-f-pl go to see 'S/he's been able to go and see themm.'

Any participle in the way between a climbing clitic and its base position can (must) agree with the clitic, indicating that the moving element in clitic climbing never leaves the infinitive as a head.

Let us suppose that the clitic-argument relation is an A dependency; more specifically, that clitics are AGR<sub>O</sub>, and other AGRs fore dative and oblique clitics. Let us call them clitics AGRs.<sup>10</sup> With this in mind, consider clitic climbing. If we want to analyse clitic climbing as a direct AGR-argument dependency, two problems arise:

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<sup>&</sup>lt;sup>10</sup> An assumption also compatible with our account would be that clitics are voices in Sportiche's (1992) sense (Roberts 1997 adopts the latter option): then clitic associates undergo A-movement to their Spec,AGR (this being either clause-bound or climbing movement), subsequently moving to their Voice

- a) Why should the clitic AGR be generated in (or moved to) the upper clause (instead of in the infinitive), considering that it is associated with the infinitival argument positions?
- b) Are "climbed" clitic AGRs local enough to their associated arguments? We address these questions in turn.

# 3.1. Clitic climbing as attraction

Clitic climbing should satisfy a requirement which has been largely accepted within the Minimalist Program, namely that movement should be driven by (functional) features that need to be checked against matching features in lower constituent.

In this connection, it is to be remarked that the "landing site" for clitic climbing is always exactly the clitic position corresponding to the restructuring verb: either a proclitic of the finite restructuring verb or an enclitic of the infinitival or imperative restructuring verb. This is highly suggestive of movement to a specific target. Let us examine some facts that convincingly show that clitic climbing is sensitive to the "attracting" clitic position.

If clitic climbing was head movement with no attracting or preexisting target, the expectation is that any clitic or clitic cluster originating in the restructured infinitive should be able to climb to the main clause (just as, say, any phrase is able to scramble in the right configuration). This is indeed the case with many restructuring verbs.

However, we have seen that in Catalan there is an significative number of restructuring verbs which have "clitics of their own", namely inherent clitics (anar-se'n go-SE-EN 'leave', disposar-se 'get ready to', descuidar-se 'forget'). En these cases, clitic climbing is possible, but in a limited way: only certain clitics can climb (in the following examples, I note climbed clitic in italics):

(33) a. Se n'*hi* va a viure. SE-EN-*there*-go-3sg to to-live 'S/he's going there to live there.'

b. S'hi disposava a anar. / SE-there-get-ready-PAST-3sg to to-go 'S/he was getting ready to go there.'

c. S'hi ha descuidat d'anar. SE-there-has forgotten of-to-go 'S/he forgot to go there.'

d. No s'hi atreveix a anar. not SE-there-dares to to-go 'S/he doesn't dare to go there.'

e. Li *ho* ensenyo a fer. Him-it-teach-1sg to to-do 'I teach him to do it.' / \*Se n'ho va a vendre. SE-EN-it-go-3sg to to-sell 'S/he's going there to sell it.'

a anar. / \*S'ho disposava a fer. to to-go SE-it-get-ready- PAST-3sg to to-do here.' 'S/he was getting ready to do it.'

\*Se *s* 'ha descuidat d'afaitar. SE-*SE*-has forgotten of to-shave 'S/he forgot to shave himself.'

/ \*No s'ho atreveix a fer. not SE-it-dares to to-do 'S/he doesn't dare to do it.'

/ \*Se li ensenyo a afaitar. SE-him-teach to to-shave 'I teach him to shave himself.'

It is perhaps expected that some incompatibilities should arise in such cases: at least, morphological incompatibilities stemming from the clitic cluster pattern of possible forms. This would be the case with the ungrammatical sentence in (33.c), where the climbed reflexive clitic is incompatible with the inherent reflexive clitic of the restructuring verb (*descuidar-se*): Catalan clitic clusters do not admit two reflexives. But the incompatibilities observable in such cases are far from obvious within a merely

morphological account. One consistent type of incompatibility is that between the inherent reflexive clitic from the restructuring verb and the climbed accusative clitic. This incompatibility is not morphological in any obvious sense, since reflexives are generally compatible with 3rd person Accusative clitics. It is, instead, easy to imagine where it can stem from within Case theory: inherent reflexives are analysable as Accusative-related: they somehow absorb Accusative. Then they are expected to conflict with accusative.

Viewed in this light, it seems that clitic climbing involves Case checking at the climbed position. Therefore, it cannot consist in a mere displacement of constituents that have already been checked for case in the lower clause. Hence, in clitic climbing constructions, there is no Case checking in the lower clause.

Let us resume our suggestion that clitics are AGRs (clitic AGRs). En the cases above, a clitic AGR position in the main restructuring clause contains clitics associated to both main and infinitival arguments or Cases. This suggests that clitic AGRs are not necessarily tied to every clause structure, but rather are projected when possible and necessary. Now the question is what makes clitic AGRs not necessary in the infinitive and possible in main clause.

## 3.2. Null subjects and clitic climbing

The connection between clitic climbing and NLLs seems to be well established within the Romance family. Kayne (1989) proposes that what crucially characterizes Null-Subject languages is I(nflection)'s ability to l-mark VP. An l-marked VP loses barrierhood and the clitic can escape the VP as a necessary first step for clitic climbing. This proposal captures the Null-Subject status of clitic climbing in a simple way, but we cannot adopt it as it stands because we have discarded a head-movement account of the clitic "displacement".

Assuming that restructuring occurs in non-NSLs such as French (perhaps in all languages), and assuming further that raising is also present in restructuring in the other languages, we must conclude that raising *per se* can not amount to clitic climbing. Optimally, the simple interaction between the Null Subject "property" and raising should give Clitic Climbing as a result. Let us try.

There has been, during decades, a minority line of research that has contended that the Null Subject property of the Romance kind is to be defined by a single core property which simultaneously derives null subjects and free subject inversion (see Rosselló, 1986, Solà 1992, Picallo 1998 and Rosselló 2000, that makes a critical review of other recent proposals). According to this view, free subject inversion is a misnomer for the parametric option of licensing Nominative Case in its basic (theta) position, without resorting to NP movement to (or expletive insertion in) Spec,IP. In recent minimalist terms (Chomsky 1995, 1998), Rosselló (2000) proposes that I (T) checks Nominative by agree, with a DP inside the vP or VP, with no EPP feature requiring raising of the DP or merge of expletive. This kind of approaches challenge the EPP principle, perhaps redefining it as a parameter: roughly, some nominal features must be strongly present in IP, either as "rich" AGR in the I head or, if AGR is poor, as a DP in Spec,I.

Assuming this line of reasoning, we should now ask what the implications of such a theory should be for raising. Raising (and ECM) infinitives have been generally characterized as involving a defective I (Chomsky 1988 ties this property to the absence

of CP). Defective I (I<sub>def</sub>)contains an EPP feature but it cannot check (erase) a Case feature.<sup>11</sup>

Now, it becomes apparent that the hypothesis sketched above for NSLs must inescapably address the issue of defective I: if NSLs generally lack an EPP feature in I, and this extends to  $I_{def}$ ,  $I_{def}$  will play no role in either Case checking (actually, erasure) or DP movement. Then  $I_{def}$  is essentially redundant for the Case-agreement system. We assume that in this case, it is inert for checking in NSLs. <sup>12</sup>

Let us put all the pieces together. Restructuring involves a raising configuration, headed by  $I_{def}$ . This defective I is inactive in NSLs. This means that no subject position will be projected in the specifier of  $I_{def}$ . This means that NSLs will lack a potential minimality barrier for A-movement, which will be present in non-NSLs. Is all this amenable to an account of clitic climbing?<sup>13</sup>

Indeed, we have claimed that clitic climbing configurations involve a "long distance" dependency between an upper clitic position and its associated argument positions in the infinitive. Consider the following abstract configuration for a simple clause (where AGR<sub>S</sub> and AGR<sub>O</sub> are descriptive labels for any categories responsible for Nominative and Accusative, respectively, while EA and IA are the external and the internal argument DPs, respectively):

(34) 
$$AGR_S AGR_O [_{\nu P} EA IA]$$

AGR<sub>S</sub> should check EA and AGR<sub>O</sub> should check IA, giving a crossing paths pattern. This configuration raises a problem for minimality, which have been solved by ensuring equidistance between the two DPs competing for AGR<sub>O</sub> (see Chomsky 1985:187,356). Chomsky's (1995:Ch4, 1998) solution is to assimilate AGR<sub>O</sub> to  $\underline{\nu}$ , which both projects the EA and checks the IA, thus becoming an hinge point for locality. With AGR<sub>S</sub> assimilated to T, we have:

(35) T [IA [EA 
$$\underline{v}$$
 [VP ...  $t_{IA}$  ]]]

In (35), EA is accessible to T, given appropriate definitions on equidistance. Now, consider what a clitic climbing configuration would be for a sentence like *El vull veure* it-want-1sg to-se 'I want to see it', according to our previous assumptions:

(36) 
$$AGR_S$$
  $AGR_O$  [IP  $I_{def}$  [VP EA IA]]

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<sup>&</sup>lt;sup>11</sup> The EPP feature has emerged into the theory due to the inescapable need to justify DP movement to, or expletive merge in, Spec,I, in the infinitive of an ECM construction, in the face of no possible Case account.

 $<sup>^{12}</sup>$  We assume it is still present to convey any Tense-Aspect features necessary in an IP-clause. We might also assume (with Chomsky 1998) that  $I_{def}$  has an uninterpretable Person feature that must be erased by agree with an associate DP, even if movement to its specifier does not ensue. We assume, however, that this feature is ancillary to the EPP feature and is suspended together with the latter.

<sup>&</sup>lt;sup>13</sup> If restructuring (as raising) triggers clitic climbing, typical raising verbs (counterparts of *seem* or *turn out*) should also allow clitic climbing. This is so for Italian. For Spanish and Catalan, these verbs have been claimed not to allow clitic climbing. There are two relevant observations to be made here. One is that in Spanish and Catalan the raising usage of these verbs with an infinitival complement (as opposed to a Small Clause) is not colloquial, in contrast to typical restructuring verbs, which seem to belong to the core lexicon, hence to plain language; for example, it can be observed that synonims of restructuring verbs become restructuring to the extent they are widely used in plain speach. This being said, one must admit that clitic climbing with verbs of the type *seem* in Catalan or Spanish is not as ungrammatical as with verbs of the type *regret*.

If  $I_{def}$  is inactive, the local relations between the two AGRs and the two DPs are essentially the same as in (34): crossing paths. But for this case locality cannot be accommodated as in (35), because AGR<sub>O</sub> is in a different clause. In order to pursue an account of clitic climbing in terms of 'long distance AGR<sub>O</sub>', AGR<sub>O</sub> must be conceived as independent from from  $\nu$ .

Suppose then that we want to essentially preserve the patterns in (34) and in (36) for simple clauses and clitic climbing configurations, respectively. We need some substantial elaboration to ensure that locality is respected.

I want to pursue one of the essential insights in Chomsky (1995:ch.4): v is the keystone for enabling Accusative Case checking and projecting the external argument (so deriving Burzio's Generalization). This connection provides a local configuration that minimizes the "crossing" pattern for Nominative-Accusative checking. But instead of assimilating v to AGR<sub>O</sub>, I propose to assimilate it to another kind of AGR.

Consider the participial agreement visible in Italian compound tenses (see Kayne 1989a), which is always agreement with the internal argument: it agrees with unaccusative subjects and with transitive (clitic) objects. It is an adjectival-like agreement (only Number and Gender features). Therefore it is not likely to check Accusative Case, if Nominative and Accusative checking is a property of categories with a full set of phi-features (Person, Number and Gender).<sup>14</sup>

Suppose we assimilate  $\underline{v}$  to participial agreement, in an abstract sense generalizable to all sentences (even if in Italian only compound tenses show its overt manifestation). This means that  $\underline{v}$  can perform a task similar to that in Chomsky (1995,1998): checking the internal Argument and possibly "attracting" it to its specifier. One difference is that no Accusative Case is checked. A further difference is that we must assume that  $\underline{v}$  is not absent in unaccusative constructions: there, it would be (negatively) specified as not projecting an external argument.

Let us assume that  $\underline{v}$  has an EPP feature that brings the internal argument to its specifier. The result will be the configuration in (37), where the IA has raised and the EA is projected (for transitive verbs).

(37) 
$$[\underline{v}_P \text{IA} ([\underline{v}_P \text{ EA}) \underline{v} [v_P \dots t_{IA}]]]$$

Now, even if, with Chomsky, we assimilate  $AGR_S$  to T,  $AGR_O$  (as well as other clitic  $AGR_S$ ) is to be kept an independent category. It could be assimilated to some other functional category (like Aspect), but I won't pursue this issue and will label it  $AGR_O$ . It is essential that  $AGR_O$  is projected (or activated) only when and where necessary: in clitic climbing contexts it need not be projected in the embedded clause (although it can, if clitic climbing is indeed optional).

Now the configurations for a simple clause and for clitic climbing, after IA raising to Spec,  $\underline{v}$  has taken place, will be (38) and (39) respectively:

(38) T AGR<sub>O</sub> [
$$_{\underline{\nu}P}$$
 IA [ $_{\underline{\nu}P}$  EA  $\underline{\nu}$  [ $_{VP}$  ...  $t_{IA}$  ]]]  
(39) T AGR<sub>O</sub> [  $_{\text{def}}$  [ $_{\nu P}$  IA [ $_{\nu P}$  EA  $\underline{\nu}$  [ $_{VP}$  ...  $t_{IA}$  ]]]]

<sup>&</sup>lt;sup>14</sup> One plausible job for this agreement is checking of Partitive Case, assuming that [Gender, Number] is a sufficient phi-set for Partitive checking. It appears that Partitive is not a "self-standing" Case: its needs to be supplemented by a structural (Nominative/Accusative) Case, as assumed in the text tat follows (see Belletti 1988). See, however, Rosselló (2000:123,124) for an interesting piece of evidence against this view.

If  $T_{def}$  in (39) does not project a specifier, the locality conditions are now the same in both constructions: both T and  $AGR_O$  can access both IA and EA, assuming that specifiers of the same projection ( $\underline{\nu}P$ ) are equidistant. One problem remains to be solved: as its stands, our proposal predicts that either T or  $AGR_O$  can access either IA and EA. We must ensure that  $AGR_O$  checks IA while T checks EA. To this effect, we narrow the definition of equidistance from (40) to (41):

- (40) Terms of the same minimal domain are equidistant (Chomsky 1985, 1988).
- (41) Two terms of the same minimal domain are equidistant if one of them is anactive.

In configurations (38) and (39), (40) makes IA and EA equidistant to both T and AGR<sub>O</sub>. (41), instead, predicts that IA is closer to AGR<sub>O</sub> at the moment AGR<sub>O</sub> is merged. It is the only option for AGR<sub>O</sub>, which will check it under agree (crucially, not under move), rendering it inactive. At the moment T is merged, however, EA is equidistant from IA, which will not intervene in the T-EA checking by agree (with no move either, we assume for NSLs).

The optionality of clitic climbing within restructuring is accounted for by assuming that the structure in (42.a) is a legitimate free alternative to (42.b) (= (39)):

(42) a. T [ 
$$T_{def}$$
 AGR<sub>O</sub> [ $_{\underline{\nu}P}$  IA ([ $_{\underline{\nu}P}$  EA)  $\underline{\nu}$  [ $_{VP}$  ...  $t_{IA}$  ]]]] b. T AGR<sub>O</sub> [  $T_{def}$  [ $_{\nu P}$  IA ([ $_{\nu P}$  EA)  $\underline{\nu}$  [ $_{VP}$  ...  $t_{IA}$  ]]]]

Since AGR<sub>O</sub> projects when possible and necessary, it can be perfectly projected in the embedded infinitive, giving a non-climbing configuration.

Now, for non pro-drop languages like French, T<sub>def</sub> projects a specifier due to its EPP feature, so that only (43.a) (non-climbing), but not (43.b) (climbing), is possible:

(43) a. T [ EA 
$$T_{def}$$
 AGR<sub>O</sub> [ $_{\nu P}$  IA [ $_{\nu P}$   $t_{EA}$   $\underline{\nu}$  [ $_{VP}$  ...  $t_{IA}$  ]]]] b. T AGR<sub>O</sub> [ Spec  $T_{def}$  [ $_{\nu P}$  IA [ $_{\nu P}$  EA  $\underline{\nu}$  [ $_{VP}$  ...  $t_{IA}$  ]]]]

In (43.a) AGR<sub>O</sub> agrees with IP and inactivates it;  $T_{def}$  subsequently agrees with EA, which moves its specifier (where it will be accessed by T). In (43.b),  $T_{def}$  cannot access EA. If then  $T_{def}$  (incorrectly) accesses IA, EA will be left inaccessible to both AGR<sub>O</sub> and T, due to the intervention of Spec,  $T_{def}$  leading the derivation to crash.

## 4. Summary and discussion

In this paper we argue that there is a biclausal structure in restructured clauses, although a simpler one involving IP (raising). One reason for postulating a biclausal structure is that restructured clauses seem to alternate with non-restructured clauses and to be closely related to them. A more theoretical reason is that a biclausal structure seems to provide more room to account for the correlation between pro-drop and clitic climbing (and other transparency effects).

Clitics are characterized as AGRs, which are optionally projected when necessary. Clitic climbing consists in projecting clitic AGRs one clause up from their associate XPs, provided the I<sub>def</sub> in the lower clause is inactive and does not block the AGR-associate checking dependency. To this effect, we provide a redefinition the functional structure associated to A-movement, and of locality for checking, which allows for the relevant 'long distance' movement.

The redefinition of the functional domain for Case and Agreement advanced here is not, I think, an *ad hoc* adjustment: the agreement feature I ascribe to  $\underline{v}$  is independently motivated, if we take Italian participle agreement to be its overt manifestation, and must be sooner or later incorporated into the Case-Agreement system. Furthermore, DPs/NPs triggering this agreement seem to be coextensive with the ones that can receive Partitive Case. We are dealing with a Case-Agreement subsystem which appears to be orthogonal to the Nominative-Accusative system: both participial agreement and Partitive are compatible with both Nominative and Accusative. If participial agreement is not phicomplete and Partitive checking does not freeze the DP/NP for further checking, it seems reasonable to put the participial agreement system before (lower than) the rest of the Case-Agreement system.

There are several pieces of evidence in favor of the structure [IA [EA  $\underline{\nu}$  VP]] postulated here. Since this structure generalizes to all kinds of verbs, we have the following three representations:

(44) a. [ IA [EA 
$$\underline{\nu}$$
 [VP ...  $t_{IA}$  ]]] (transitive structures) b. [ EA  $\underline{\nu}$  [VP ... (IA) ]]] (unergative structures) c. [ IA  $\underline{\nu}$  [VP ...  $t_{IA}$  ]] (unaccusative structures)

For the unergative configuration (44.b), the postulated internal argument would probably incorporate to V (ultimately to  $\underline{v}$ ).

One piece of evidence in favour of (44) is that it corresponds to past participles: "passive" past participles (when EA is absorved: hence unergatives do not have a "passive" past participle) and "active" past participles in compound tenses, where EA, if present, remains accessible.

(44) also corresponds to the infinitive complement of causative verbs in Romance, an ECM construction where Accusative Case is assigned exactly to the DP which is most accessible in each of the structures in (44): IA with transitives and unaccusatgives, and EA with ergatives.

A further piece of evidence concerns word order. In transitive structrures IA moves to the left of EA. It must be actial move, not just agree, for the locality theory advanced here to work. Now, is there any evidence for this movement. The answer is clearly yes. Assuming the the verb ( $[\underline{v} \ V \ \underline{v}]$ ) moves past both arguments, we predict the order V IA EA for sentences with subject inversion (where the EA stays in its base position). Thats what we standardly find in Catalan and Italian (even if cooccurrenced of both overt object and overt subject is somehow marginal in some cases):

(45) a. Només menja patates en Joan . / \*Només menja en Joan patates.
only eats potatoes the John only eats the John potatoes
b. Pagarà la factura la companyia./ \*Pagarà la companyia la factura. will-pay the bill the company will-pay the company the bill

It is not accurate to claim that these facts point to a VP final position for the subject: inverted subjects unmarkedly precede VP adjunts and also datives and governed locatives:<sup>15</sup>

(46) a. Ho ha escrit en Joan amb l'ordinador /a casa seva /aquesta tarda

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<sup>&</sup>lt;sup>15</sup> In (46) the inverted subject is not interpreted as (restricted) focus: rather the whole VP is interpreted as the comment. (46.b), for example is an adequate reply to "What's this letter you found?". We cannot support then support the view that subject inversion is focalization (Belletti 1999).

it-has written the John with the-computer at home his this afternoon 'This was written by John with the computer/at his home/this afternoon.'

- b. Aquesta carta, la hi va enviar l'avi a l'àvia.

  This letter it-he-PAST to-send the-grandfather to the-grandmother 'This letter was sent by grandfather to grandmother.'
- c. Els diners, ja els ha posat la Maria al banc.' the money already it-has put the Mary to-the bank.' 'The money was already but in the bank by Mary.'

We conclude, then, that the functional structure we propose has some independent theoretical and empirical motivation

There are many issues and problems have been left unsolved. One is the precise nature of clitic AGRs other than  $AGR_O$ . Assuming that they (or some of them, e.g.  $AGR_{DAT}$ ) establish A-dependencies with their associates, complex locality interactions are expected to arise. If, instead they (or some of them) induce a different (A') kind of dependency, locality interactions are not expected, therefore the above locality arguments become irrelevant.

The fact is that clitic clusters seem to behave as a unit, not as an accidental cluster of independently derived clitics. This probably derives from morphological properties (the clitic cluster would be a morphophonologically uniform domain, see Bonet 1991). But it might as well be that complex argument structures (such as object-dative: *give the book to John*; or object-locative *put the book on the table*) behave as a unit not only in their base position (Small Clauses) but also for checking by a complex AGR (the "clitic cluster").

Whatever the account, it must be able to predict the general impossibility of clitic split clitic climbing, which is strongly ungrammatical:

(47) \*\*Em volia donar-lo me wanted-3sg to-give-it 'He wanted to give it to me.'

A further issue to be addressed is how are other transparency effects accounted for in the present proposal. The general expectation is that restructuring in NSLs opens a door for "long distance" A-dependencies. If so, the other transparency effects described in Rizzi (1982) could be accommodated: SE-passivization and auxiliary selection seem to belong to the Case-Agreement system. Now French (and also Italian, as demonstrated in Bok-Bennema &Kampers-Mahne 1984) shows a transparency effect which seems to be of A'-kind: quantifier and adverb climbing:

(48) Jean a *tout* voulu faire.

Jean has everything wanted to-do

Cf.: Jean a voulu *tout* faire. (without climbing)

Although it seems hard to predict why and how exactly these elements climb, the facts are compatible with the idea that French has restructuring but, as a non-NSL, it does not have A-transparency effects, while A'-transparency effects are independently available.

A final issue I can not address here is the nature of opacity inducing factors, such as negation or focusing. For negation, a head movement account has often been adduced (Kayne 1989, Bok-Bennema & Kampers-Mahne 1994, Roberts 1997). Such an account seems unavailable here. But it could be incorporated if we assumed that full inactivation

of  $T_{def}$  in NSLs requires its head-incorporation onto the restructuring verb. It should be remarked, though, that a preposition often intervenes between the restructuring verb and the infinitive. This preposition is likely to be lexical (see Amadas Simon 1999:5.3) and may be a problem for head incorporation analyses too. I leave the issue here.

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